TR	ANSMITTAL, FORM		Filing Date First Named Inventor Art Unit Examiner Name	on of infom 09/898,30 July 3, 20	demark Office; mation unless if 65 001 Poo et al.	RECE	NT OF COMM MB control of IVED	MERCE number.
Total Number of P	Pages in This Submission		Attorney Docket Number	1601457	-0007			
		ENC	LOSURES (Check all tha	t apply)				
Amendmer Afte Affi Extension of Express At Information Certified Co Document(Response incomplete	e Attached nt/Reply er Final davits/declaration(s) of Time Request pandonment Request n Disclosure Statement opy of Priority		Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Addr Terminal Disclaimer Request for Refund CD, Number of CD(s)		to Ter Apper of Apper (Apper (Apper) Propr Status Other Identi Form PTO/S	REC	(TC) on to Board erences on to TC teply Brief) in lease 0/SB/08B nces CEIVE	ED 03
rim T			OF APPLICANT, ATTORN	IEY, OR	AGENT			
or Individual name Signature	Patrick W. Ma, Reg. No. 4 White & Case LLP September 24, 2003	4,215						
	CERTIFICATE OF TRANSMISSION/MAILING							
sufficient postage a	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.							

Christina Ishihara Signature

Typed or printed name

Date September 24, 2003

This collection of information is required by 37 CFB 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

Application of: Teng Pin Poo et al.

Serial No.: 09/898,365

Group Art Unit: 2185

Filed: July 3, 2001

Examiner: To be assigned

For: A Portable Device Having Biometrics-

White & Case Docket No.: 1601457-0007

Based Authentication Capabilities

RECEIVED

OCT 0 7 2003

INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references coming to the attention of Applicants or attorneys or agents for Applicants which are or may be material to the examination of the subject application, Attorneys for Applicants hereby invite the Examiner's attention to the reference(s) listed on the accompanying PTO Form SB/08A and SB/08B.

Identification of references listed on the attached PTO form is not to be construed as an admission of Applicants or attorneys for Applicants that such references are material or available as prior art against the subject application. The right is reserved to antedate any item in accordance with standard procedure.

This submission is understood to complement the results of the Examiner's own independent search. The submission should not be construed as a representation that a search was made, or that the cited items are inclusive of all the relevant and material citations that may be available publicly.

Copies of the cited references are enclosed. Applicants respectfully request that the Examiner review the attached references and that they be made of record in the file history of the above-captioned application.

In connection with the filing of this Information Disclosure Statement,

Applicants believe that there is no fee due. However, should there be a required fee the

Commissioner is hereby authorized to charge White & Case LLP Deposit Account No. 23
1703.

Dated: September 24, 2003

Patrick W. Ma (Reg. No. 44,215)

White & Case LLP

1155 Avenue of the Americas New York, NY 10036-2787

(650) 213-0321



PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unitess it contains a valid OMB control number.

Substitute fo	or form 1449A/P7	о		Complete if Known			
	- -		LICANT	Application Number	09/898,365		
	•••			Filing Date	July 3, 2001		
	***			First Named Inventor	Teng Pin Poo		
				Group Art Unit	2185		
(u	ise as many sl	neets as nec	essary)	Examiner Name			
Sheet	1	of	3	Attorney Docket Number	1601457-0007		

Document Number		Document Number	Publication Date	Name of Botomboo on Applicant	Pages, Columns, Lines, Where	
Examiner Cite No. 1	Cite No. ¹	Number-Kind Code ² (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
		US - 4,853,523	08-01-1989	Talmadge		
		US - 4,988,855	01-29-1991	lijima		
		US - 5,291,584	03-01-1994	Challa et al.		
		US - 5,297,148	03-22-1994	Harari et al.		
		US - 5,375,243	12-20-1994	Parzych et al.		
		US - 5,442,704	08-15-1995	Holtey Thomas	RECEIVED	
		US - 5,490,096	02-06-1996	Seto	•	
		US - 5,517,014	05-14-1996	lijima	OCT 0 7 2003	
		US - 5,583,538	12-10-1996	Watanabe et al.		
		US - 5,588,146	12-24-1996	Leroux	Technology Center 210	
		US - 5,646,646	07-08-1997	Inoue et al.		
	1	US - 5,663,901	09-02-1997	Wallace et al.		
		US - 5,684,742	11-04-1997	Bublitz et al.		
		US - 5,815,426	09-29-1998	Jigour et al.		
		US - 5,844,986	12-01-1998	Davis		
		US - 5,867,802 A	02-02-1999	Borza	Ī	
		US - 5,935,244	08-10-1999	Swamy et al.		
		US - 6,003,135	12-14-1999	Bialick et al.		
		US - 6,016,476	01-18-2000	Maes et al.		
		US - 6,038,320	03-14-2000	Miller		
		US - 6,047,376 A	04-04-2000	Hosoe et al.		
		US - 6,067,625	05-23-2000	Ryu		
<u></u>		US - 6,088,755	07-11-2000	Kobayashi et al.		
·		US - 6,145,045	11-07-2000	Falik et al.		
		US - 6,151,657	11-21-2000	Sun et al.		
		US - 6,182,162 B1	01-30-2001	Estakhri et al.		
		US - 6,219,439 B1	04-17-2001	Burger		
		US - 6,286,087 B1	09-04-2001	Ito et al.		
		US - 6,324,537 B1	11-27-2001	Moran		
		US - 6,330,624 B1	12-11-2001	Cromer et al.		
		US - 2002 010857 A1	01-24-2002	Karthik et al.		
		US - 2002 029343 A1	03-07-2002	Kurita et al.		
		US - 6,361,369 B1	03-26-2002	Kondo et al.		
	1	US - 6,385,667 B1	05-07-2002	Estakhri et al.		

Attorney Docket No.: 1601457-0007

0 3 20 03 }	7	. FURE	IGN PATENT DO	CUMENIS		
& RADE	niner Cite als* No.1	Foreign Patent Document Country Code ³ Number ⁴ Nu	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Cotumns, Lines, Where Relevant Passages or Relevant Figures Appear	Т ₆
		EP 0 152 024 B1	08-21-1985	Kabushiki Kaisha		
<u> </u>		WO 87/07063	11-19-1987	AT&T		
-		DE 37 06 466 A	09-08-1988	Siemens		
		GB 2 204 971 A	11-23-1988	General Electric		
-		WO 89/12287 A	12-14-1989	Tripeau		
-		EP 0 703 544 A	03-27-1996	IBM		
		DE 195 36 206 A1	04-04-1996	Samsung		
		JP 9069067	03-11-1997	Hitachi Ltd.		
		GB 2 312 040 A	10-15-1997	Xerox		
		WO 98/07255	02-19-1998	Info. Resource Eng.		
1		WO 98/12670	03-26-1998	Dew Engineering		
·		WO 98/13791 A	04-02-1998	Westinghouse		
		DE 196 45 937 A1	05-14-1998	Deutsche Telekom		
		EP 0 883 083 A1	09-12-1998	Sony Corporation		
		DE 197 12 053 A1	09-24-1998	Baltus		
		WO 99/08238	02-18-1999	International Bus. Mach.		
		WO 99/13434 A1	03-18-1999	Lewis		
		WO 99/16024 A1	04-01-1999	Raytheon		
		WO 99/56429 A1	04-26-1999	Identix		
		EP 0 924 656 A2	06-23-1999	TRW Inc.	<u> </u>	
		EP 0 924 657 A2	06-23-1999	TRW Inc.		
		EP 0 929 043 A1	07-14-1999	TDK Corporation		
		WO 99/45460	09-10-1999	Lexar Media, Inc.		
		EP 0945777 A3 ·	09-29-1999	Compaq Computer Corp.		
		JP 2000 048177	02-18-2000	Fujitsu		
		WO 00/42491	07-20-2000	Rainbow Technology		
		WO 00/60476 -	10-12-2000	M-Systems		
		WO 01/08055 A1 .	02-01-2001	Securecom		
		WO 01/09845 A1	02-08-2001	Siemens		
		WO 01/16917 A2	03-08-2001	Interpares, LLC	RE(EIVE
<u> </u>		WO 01/22351	03-29-2001	Black		
		WO 01/23987 -	04-05-2001	M-Systems	TOO	0 7 200
		CN 99117225.6	04-18-2001	Deng et al.	001	V , - [
		WO 01/31577 A1	05-03-2001	Atro	w. skende	gy Center
		WO 01/33317 A1	05-10-2001	Konink Phillips Elect.	19CUHON	97 00
		EP 1 100 000 A2	05-16-2001	Netac Technology		
<u> </u>		WO 01/61692 A	08-23-2001	Trek Technology		
		EP 0 912 939 B1	09-26-2001	Tokyo Electron		
		WO 01/73570 A	10-04-2001	Telegate		
	_	WO 01/86640 A	11-15-2001	Minds Work		
<u> </u>		EP 1 156 697 A1	11-21-2001	Semiconductor Energy		
		DE 100 57697 A1	05-29-2002	Fujitsu Siemens		
		1	I	Computer GmbH	1	

6156	45.J
OCT 0 3 20	四岁
FIRM & RADE	Exami Initials

\$ <u>\$</u>		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		ALADDIN KNOWLEDGE SYSTEMS, INC.; MacHUSP USB; (advertising material); pp. 29-38	
		ROGERS, SUE; Techlink Seminar 3 New Mac Hardware and Peripherals; 11/19/1999	
		Universal Serial Bus Mass Storage Class Specification Overview; V 1.0 Revision; 10/22/1998	
		Universal Serial Bus Mass Storage Class / UFI Command Specification; Revision 1.0, 12/14/1998	
·		ZILLER, JASON and FAIR, DAVID; Which External I/O Bus Is Right For You?; Intel Corporation; Intel Developer UPDATE Magazine; 01/2000	

Examiner	Date Considered	
Signature	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

OCT 0 7 2003

Technology Center 2100

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark hee if English language Translation is attached.